

**AUTHORIZATION REGARDING BACKGROUND INVESTIGATION
 FOR EMPLOYMENT CANDIDATES**

I acknowledge receipt of the “Disclosure Regarding Background Investigation for All Employment Candidates” and the Federal Summary of “Your Rights under the Fair Credit Reporting Act” and certify that I have read and understand both documents. I hereby authorize the obtaining of "consumer reports" and/or "investigative consumer reports" by the Company at any time after receipt of this authorization and, if I am hired, throughout my employment. To this end, I hereby authorize, without reservation, any law enforcement agency, administrator, state or federal agency, institution, school or university (public or private), information service bureau, employer, or insurance company to furnish any and all background information requested by SRI or it’s background investigation vendor, HR Plus, 8430 W. Bryn Mawr Ave., Suite 1100, Chicago, IL 60631, phone: 888-538-1969, www.hrplus.com.

- By signing below, I certify that I have read and understand the attached “**Disclosure Regarding Background Investigation for All Employment Candidates**” and agree to the background investigation(s) as described.
- By signing below, I certify that I have read the attached Federal Summary of “**Your Rights under The Fair Credit Reporting Act**”. Furthermore, if I reside in the states of California, Maine, Minnesota, Oklahoma, New York or Washington, I have been given specific information on my rights based upon state laws.
- I agree that a facsimile ("fax"), electronic or photographic copy of this authorization shall be as valid as the original.
- I understand that if I want a paper copy of this Authorization Form, I may print it or request a copy by contacting HR Plus at (888-538-1969) or at customerservice@hrplus.com.
- For employees residing outside the U.S.A: I understand and agree that as part of the background investigations described above, my personally identifiable data (“PII”) may be transferred outside of the country in which it was collected, including to a country that may not be approved by the European Commission as adequately protecting PII. I expressly agree to the transfer of my PII from the country in which it was collected to all of the following: HR Plus. HR Plus has verified compliance with the United States Department of Commerce’s Safe Harbor program for the protection of PII from the EEA and Switzerland to the United States (“Safe Harbor”); any of HR Plus’ agents, wherever located, that commit to protecting PII to standards equal to or greater than those imposed by Safe Harbor or the Company, wherever located.

Additional Information

Please provide a minimum of 5 years of residential history. If additional space is needed, please feel free to use a copy of this page or a blank page. This information will be used for background screening purposes only and will not be used as hiring criteria.

Last Name	First Name	Middle Name
Social Security Number:	Date of Birth	Driver's License # & State
Home Phone Number	Cell Phone Number	Email Address
Please provide a minimum of 5 years residential history starting with your current address.		
Street Address	City, State, Zip Code	Dates of residency

Signature: _____ **Date:** _____

DISCLOSURE REGARDING BACKGROUND INVESTIGATION FOR ALL EMPLOYMENT CANDIDATES

To the extent permitted by applicable local law:

Strategic Resources, Inc. (The Company) may obtain information about you from a consumer reporting agency/ background Investigation Company for employment purposes. Thus, you may be the subject of a background investigation report, a "consumer report" and/or an "investigative consumer report" which may include information about your character, general reputation, personal characteristics, and/or mode of living, and which can involve personal interviews with sources such as your neighbors, friends, or associates. These reports may be obtained at any time after receipt of your authorization and, if you are hired, throughout your employment. These reports may include information regarding your credit history, criminal history, social security and other government program verification (including any worker's compensation filings to the extent allowable by law), motor vehicle and/or driving records, education and employment. Credit history will only be requested where such information is substantially related to the duties and responsibilities of the position for which you are applying.

You have the right, upon written request made within a reasonable time after receipt of this notice, to request disclosure of the nature and scope of any background investigation report and/or investigative consumer report requested and a copy of any report conducted about you. Please be advised that the nature and scope of the most common form of background investigation reports and investigative consumer reports obtained with regard to applicants for employment is an investigation into your education and/or employment history conducted by HR Plus, 8430 W. Bryn Mawr Ave., Suite 1100, Chicago, IL 60631, phone: 888-538-1969, www.hrplus.com ("HR Plus") or its agents. However, the scope of this Disclosure and Authorization Form is all-encompassing, allowing The Company to obtain from HR Plus all manner of background investigation reports, consumer reports and investigative consumer reports about you now and, if you are hired, throughout the course of your employment to the extent permitted by applicable local law. As a result, you should carefully consider whether to exercise your right to request disclosure of the nature and scope of any background investigation report and/or investigative consumer report.

Para información en español, visite www.consumerfinance.gov/learnmore o escribe a la Consumer Financial Protection Bureau, 1700 G Street N.W., Washington, DC 20552.

A SUMMARY OF YOUR RIGHTS UNDER THE FAIR CREDIT REPORT ACT

The federal Fair Credit Reporting Act (FCRA) promotes the accuracy, fairness, and privacy of information in the files of consumer reporting agencies. There are many types of consumer reporting agencies, including credit bureaus and specialty agencies (such as agencies that sell information about check writing histories, medical records, and rental history records). Here is a summary of your major rights under the FCRA. For more information, including information about additional rights, go to www.consumerfinance.gov/learnmore or write to: Consumer Financial Protection Bureau, 1700 G Street N.W., Washington, DC 20552.

- **You must be told if information in your file has been used against you.** Anyone who uses a credit report or another type of consumer report to deny your application for credit, insurance, or employment – or to take another adverse action against you – must tell you, and must give you the name, address, and phone number of the agency that provided the information.
- **You have the right to know what is in your file.** You may request and obtain all the information about you in the files of a consumer reporting agency (your "file disclosure"). You will be required to provide proper identification, which may include your Social Security number. In many cases, the disclosure will be free. You are entitled to a free file disclosure if:
 - a person has taken adverse action against you because of information in your credit report;
 - you are the victim of identity theft and place a fraud alert in your file;
 - your file contains inaccurate information as a result of fraud;
 - you are on public assistance;
 - you are unemployed but expect to apply for employment within 60 days.

- In addition, all consumers are entitled to one free disclosure every 12 months upon request from each nationwide credit bureau and from nationwide specialty consumer reporting agencies. See www.consumerfinance.gov/learnmore for additional information.
- **You have the right to ask for a credit score.** Credit scores are numerical summaries of your credit-worthiness based on information from credit bureaus. You may request a credit score from consumer reporting agencies that create scores or distribute scores used in residential real property loans, but you will have to pay for it. In some mortgage transactions, you will receive credit score information for free from the mortgage lender.
- **You have the right to dispute incomplete or inaccurate information.** If you identify information in your file that is incomplete or inaccurate, and report it to the consumer reporting agency, the agency must investigate unless your dispute is frivolous. See www.consumerfinance.gov/learnmore for an explanation of dispute procedures.
- **Consumer reporting agencies must correct or delete inaccurate, incomplete, or unverifiable information.** Inaccurate, incomplete or unverifiable information must be removed or corrected, usually within 30 days. However, a consumer reporting agency may continue to report information it has verified as accurate.
- **Consumer reporting agencies may not report outdated negative information.** In most cases, a consumer reporting agency may not report negative information that is more than seven years old, or bankruptcies that are more than 10 years old.
- **Access to your file is limited.** A consumer reporting agency may provide information about you only to people with a valid need – usually to consider an application with a creditor, insurer, employer, landlord, or other business. The FCRA specifies those with a valid need for access.
- **You must give your consent for reports to be provided to employers.** A consumer reporting agency may not give out information about you to your employer, or a potential employer, without your written consent given to the employer. Written consent generally is not required in the trucking industry. For more information, go to www.consumerfinance.gov/learnmore.
- **You may limit “prescreened” offers of credit and insurance you get based on information in your credit report.** Unsolicited “prescreened” offers for credit and insurance must include a toll-free phone number you can call if you choose to remove your name and address from the lists these offers are based on. You may opt-out with the nationwide credit bureaus at 1-888-567-8688.
- **You may seek damages from violators.** If a consumer reporting agency, or, in some cases, a user of consumer reports or a furnisher of information to a consumer reporting agency violates the FCRA, you may be able to sue in state or federal court.
- **Identity theft victims and active duty military personnel have additional rights.** For more information, visit www.consumerfinance.gov/learnmore

States may enforce the FCRA, and many states have their own consumer reporting laws. In some cases, you may have more rights under state law. For more information, contact your state or local consumer protection agency or your state Attorney General. For information about your federal rights, contact:

TYPE OF BUSINESS:

CONTACT:

1.a. Banks, savings associations, and credit unions with total assets of over \$10 billion and their affiliates.

a. Bureau of Consumer Financial Protection
 1700 G Street, NW, Washington, DC 20006

b. Such affiliates that are not banks, savings associations, or credit unions also should list, in addition to the Bureau:

b. Federal Trade Commission: Consumer Response Center – FCRA, Washington, DC 20580
 (877) 382-4357

<p>2. To the extent not included in item 1 above:</p> <p>a. National banks, federal savings associations, and federal branches and federal agencies of foreign banks</p> <p>b. State member banks, branches and agencies of foreign banks (other than federal branches, federal agencies, and insured state branches of foreign banks), commercial lending companies owned or controlled by foreign banks, and organizations operating under section 25 or 25A of the Federal Reserve Act.</p> <p>c. Nonmember Insured Banks, Insured State Branches of Foreign Banks, and insured state savings associations</p> <p>d. Federal Credit Unions</p>	<p>a. Office of the Comptroller of the Currency Customer Assistance Group 1301 McKinney Street, Suite 3450, Houston, TX 77010</p> <p>b. Federal Reserve Consumer Help Center P.O. Box 1200, Minneapolis, MN 55480</p> <p>c. FDIC Consumer Response Center 1100 Walnut Street, Box #11, Kansas City, MO 64106</p> <p>d. National Credit Union Administration Office of Consumer Protection (OCP) Division of Consumer Compliance and Outreach (DCCO) 1775 Duke Street, Alexandria, VA 22314</p>
<p>3. Air carriers</p>	<p>Asst. General Counsel for Aviation Enforcement & Proceedings Department of Transportation 400 Seventh Street, SW, Washington, DC 20590</p>
<p>4. Creditors Subject to Surface Transportation Board</p>	<p>Office of Proceedings, Surface Transportation Board Department of Transportation 1925 K Street NW, Washington, DC 20423</p>
<p>5. Creditors Subject to Packers and Stockyards Act</p>	<p>Nearest Packers & Stockyards Administration area supervisor</p>
<p>6. Small Business Investment Companies</p>	<p>Associate Deputy Administrator for Capital Access United States Small Business Administration 406 Third Street, SW, 8th Floor, Washington, DC 20416</p>
<p>7. Brokers and Dealers</p>	<p>Securities and Exchange Commission 100 F Street, NE, Washington, DC 20549</p>
<p>8. Federal Land Banks, Federal Land Bank Associations, Federal Intermediate Credit Banks, and Production Credit Associations</p>	<p>Farm Credit Administration 1501 Farm Credit Drive, McLean, VA 22102-5090</p>
<p>9. Retailers, Finance Companies, and All Other Creditors Not Listed Above</p>	<p>FTC Regional Office for region in which the creditor operates or Federal Trade Commission: Consumer Response Center – FCRA Washington, DC 20580 (877) 382-4357</p>

ADDITIONAL STATE LAWS PERTAINING TO BACKGROUND INVESTIGATIONS

California candidates and employees:

NOTICE REGARDING BACKGROUND INVESTIGATION PURSUANT TO CALIFORNIA LAW

Under California Civil Code section 1786.22, you are entitled to find out from an Investigative Consumer Reporting Agency (“ICRA”) what is in the ICRA’s file on you with proper identification, as follows:

- In person, by visual inspection of your file during normal business hours and on reasonable notice. You also may request a copy of the information in person. The ICRA may not charge you more than the actual copying costs for providing you with a copy of your file.
- A summary of all information contained in the ICRA’s file on you that is required to be provided by the California Civil Code will be provided to you via telephone, if you have made a written request, with proper identification, for telephone disclosure, and the toll charge, if any, for the telephone call is prepaid by or charged directly to you.
- By requesting a copy be sent to a specified addressee by certified mail. ICRA’s complying with requests for certified mailings shall not be liable for disclosures to third parties caused by mishandling of mail after such mailings leave the ICRA’s.

Secure SRI Fax Number: (703) 749-7990 or email to security@sri-hq.com

“Proper Identification” includes documents such as a valid driver’s license, social security account number, military identification card, and credit cards. Only if you cannot identify yourself with such information may the ICRA require additional information concerning your employment and personal or family history in order to verify your identity. The ICRA will provide trained personnel to explain any information furnished to you and will provide a written explanation of any coded information contained in files maintained on you. This written explanation will be provided whenever a file is provided to you for visual inspection.

You may be accompanied by one other person of your choosing, who must furnish reasonable identification. An ICRA may require you to furnish a written statement granting permission to the ICRA to discuss your file in such person’s presence.

All items of information in the ICRA’s file shall be available for inspection, except that the sources of information acquired solely for use in preparing an investigative consumer report and actually used for no other purpose will not be disclosed. Upon your request, the ICRA will also disclose the name, address and telephone number of any recipients of an investigative consumer report about you that the ICRA has furnished within the three-year period preceding the request. The ICRA will also disclose the dates, original payees, and amounts of any checks or charges upon which is based any adverse characterization of you, included in the file at the time of the disclosure.

The ICRA may charge you a fee up to \$8 for the disclosure and must notify you of any fee prior to the disclosure. The ICRA may not impose a fee for providing you with a revised report after a reinvestigation of disputed information. You have the right to request that an ICRA provide the above information to you once during any 12-month period without charge if you certify in writing that you: (1) are unemployed and intend to apply for employment in the 60-day period beginning on the date the certification is made, (2) are a recipient of public welfare assistance, or (3) have reason to believe that the file on the you at the investigative consumer reporting agency contains inaccurate information due to fraud.

By signing this Authorization Form you certify that you have read the Notice Regarding Background Investigation Pursuant to California Law. By signing, you also acknowledge receipt of the Notice Regarding Background Investigation Pursuant to California Law. Please contact HR Plus if you would like to receive a copy of an investigative consumer report or consumer credit report if one is obtained by the Company at no charge whenever you have a right to receive such a copy under California law.

Maine candidates and employees: You have the right to inspect and receive a copy of any investigative consumer report requested by the Company by contacting HR Plus directly. You may also contact the Company to request the name, address and telephone number of the nearest unit of the consumer reporting agency designated to handle inquiries, which the Company shall provide within 5 days. **Minnesota and Oklahoma candidates and employees:** You have the right, upon written request, to request disclosure of the nature and scope of any consumer report requested by the Company by contacting HR Plus directly at the address below with no charge to you.

New York candidates and employees: NEW YORK CORRECTION LAW ARTICLE 23-A LICENSURE AND EMPLOYMENT OF PERSONS PREVIOUSLY CONVICTED OF ONE OR MORE CRIMINAL OFFENSES

§750. Definitions. For the purposes of this article, the following terms shall have the following meanings:

- (1) "Public agency" means the state or any local subdivision thereof, or any state or local department, agency, board or commission.
- (2) "Private employer" means any person, company, corporation, labor organization or association which employs ten or more persons.
- (3) "Direct relationship" means that the nature of criminal conduct for which the person was convicted has a direct bearing on his fitness or ability to perform one or more of the duties or responsibilities necessarily related to the license, opportunity, or job in question.
- (4) "License" means any certificate, license, permit or grant of permission required by the laws of this state, its political subdivisions or instrumentalities as a condition for the lawful practice of any occupation, employment, trade, vocation, business, or profession. Provided, however, that "license" shall not, for the purposes of this article, include any license or permit to own, possess, carry, or fire any explosive, pistol, handgun, rifle, shotgun, or other firearm.
- (5) "Employment" means any occupation, vocation or employment, or any form of vocational or educational training. Provided, however, that "employment" shall not, for the purposes of this article, include membership in any law enforcement agency.

§751. Applicability. The provisions of this article shall apply to any application by any person for a license or employment at any public or private employer, who has previously been convicted of one or more criminal offenses in this state or in any other jurisdiction, and to any license or employment held by any person whose conviction of one or more criminal offenses in this state or in any other jurisdiction preceded such employment or granting of a license, except where a mandatory forfeiture, disability or bar to employment is imposed by law, and has not been removed by an executive pardon, certificate of relief from disabilities or certificate of good conduct. Nothing in this article shall be construed to affect any right an employer may have with respect to an intentional misrepresentation in connection with an application for employment made by a prospective employee or previously made by a current employee.

§752. Unfair discrimination against persons previously convicted of one or more criminal offenses prohibited. No application for any license or employment, and no employment or license held by an individual, to which the provisions of this article are applicable, shall be denied or acted upon adversely by reason of the individual's having been previously convicted of one or more criminal offenses, or by reason of a finding of lack of "good moral character" when such finding is based upon the fact that the individual has previously been convicted of one or more criminal offenses, unless:

(1) There is a direct relationship between one or more of the previous criminal offenses and the specific license or employment sought or held by the individual; or

(2) the issuance or continuation of the license or the granting or continuation of the employment would involve an unreasonable risk to property or to the safety or welfare of specific individuals or the general public. 10

§753. Factors to be considered concerning a previous criminal conviction; presumption.

1. In making a determination pursuant to section seven hundred fifty-two of this chapter, the public agency or private employer shall consider the following factors:

(a) The public policy of this state, as expressed in this act, to encourage the licensure and employment of persons previously convicted of one or more criminal offenses.

(b) The specific duties and responsibilities necessarily related to the license or employment sought or held by the person.

(c) The bearing, if any, the criminal offense or offenses for which the person was previously convicted will have on his fitness or ability to perform one or more such duties or responsibilities.

(d) The time which has elapsed since the occurrence of the criminal offense or offenses.

(e) The age of the person at the time of occurrence of the criminal offense or offenses.

(f) The seriousness of the offense or offenses.

(g) Any information produced by the person, or produced on his behalf, in regard to his rehabilitation and good conduct.

(h) The legitimate interest of the public agency or private employer in protecting property, and the safety and welfare of specific individuals or the general public.

2. In making a determination pursuant to section seven hundred fifty-two of this chapter, the public agency or private employer shall also give consideration to a certificate of relief from disabilities or a certificate of good conduct issued to the applicant, which certificate shall create a presumption of rehabilitation in regard to the offense or offenses specified therein.

§754. Written statement upon denial of license or employment. At the request of any person previously convicted of one or more criminal offenses who has been denied a license or employment, a public agency or private employer shall provide, within thirty days of a request, a written statement setting forth the reasons for such denial.

§755. Enforcement.

1. In relation to actions by public agencies, the provisions of this article shall be enforceable by a proceeding brought pursuant to article seventy-eight of the civil practice law and rules.

2. In relation to actions by private employers, the provisions of this article shall be enforceable by the division of human rights pursuant to the powers and procedures set forth in article fifteen of the executive law, and, concurrently, by the New York city commission on human rights.

You have the right to inspect and receive a copy of any investigative consumer report requested by the Company by contacting HR Plus directly.

Washington candidates and employees: You have the right, upon written request made within a reasonable time after receipt of this notice, to request disclosure of the nature and scope of any investigative consumer report requested. You also have the right to request a written summary of consumer's rights and remedies under Washington's Fair Credit Reporting Act.

HR Plus
8430 W. Bryn Mawr Ave., Suite 1100
Chicago, IL 60631

(888) 538-1969 www.hrplus.com customerservice@hrplus.com

Secure SRI Fax Number: (703) 749-7990 or email to security@sri-hq.com